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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Aravind Yalamanchi  
Serial No.: 10/815,220  
Filed on: March 30, 2004

) Confirmation No.: 7098  
)  
) Examiner: NYA  
)  
) Group Art Unit No.: 2171  
)

For: MANAGING EVENT-CONDITION-ACTION RULES IN A DATABASE SYSTEM

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):



37 C.F.R. §1.97(b). It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:



A statement pursuant to 37 C.F.R. §1.97(e)

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



The Examiner is hereby notified that the present application is related to the following related application(s):

**DISCLOSURE OF RELATED APPLICATIONS**

| U.S. Application/ Pat. No. | File Date | Atty. Docket. No. |
|----------------------------|-----------|-------------------|
|                            |           |                   |
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|                            |           |                   |

The related application(s) may contain subject matter that is related to the subject matter of the present application. The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.



The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

**DISCLOSURE OF OFFICE ACTIONS**

| U.S. Application/<br>Pat. No. | File Date  | Office Action<br>Mailing Date | Atty. Docket. No. |
|-------------------------------|------------|-------------------------------|-------------------|
| 10/254,383                    | 09/24/2002 | 12/13/2005                    | 50277-1942        |
| 10/365,771                    | 02/12/2003 | 01/04/2006                    | 50277-2109        |
|                               |            |                               |                   |


The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: February 2, 2006

  
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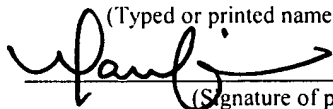
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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Maria Eivers

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

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|--|---|---------------------------------|
| <b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br>(PTO-1449) | <b>ATTY. DOCKET NO.</b><br>50277-2415   | <b>SERIAL NO.</b><br>10/815,220 |
|  | <b>APPLICANT:</b><br>Aravind Yalamanchi |                                 |
|  | <b>FILING DATE:</b><br>March 30, 2004   | <b>GROUP:</b><br>2171           |

| U.S. PATENT DOCUMENTS |                          |                      |                                      |  |  |  |
|-----------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| Exam.<br>Initial*     | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant Figures<br>Appear |
|                       |                          | Number               | Kind Code <sup>2</sup><br>(If known) |  |  |  |
|                       |                          | 5,970,244            |                                      | Nagahashi et al.                                   | 10-19-1999   |  |
|                       |                          | 2003/0120642         | A1                                   | Egilsson et al.                                    | 06-2003  |  |
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| OTHER ART – NO PATENT LITERATURE DOCUMENTS |                       |   |                          |
|--|-----------------------|---|--------------------------|
| Examiner Initials*                         | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published                                      | Translation <sup>2</sup> |
|  |                       | BEA, "Using Expressions and Conditions," WebLogic Process Integrator Release 1.1, 2000, located on the internet at < <a href="http://e-docs.bea.com/wlpi/wlpi11/studio/ch6.htm">http://e-docs.bea.com/wlpi/wlpi11/studio/ch6.htm</a> >, retrieved on 12/29/2005, 12 pages.                          |                          |
|  |                       | CHAMBERLAIN, DON, "Query Languages and XML," Powerpoint Presentation, IBM Almaden Research Center, December 2000, located on the internet at < <a href="http://www-db.sc.wisc.edu/dbseminar/fall00/talks/chamberlain">http://www-db.sc.wisc.edu/dbseminar/fall00/talks/chamberlain</a> >, 26 pages. |                          |
|  |                       | CHAMBERLAIN, DON et al., "XQuery: A Query Language for XML," W3C Working Draft, 15 February 2001, located on the internet at < <a href="http://www.w3.org/TR/2001/WD-xquery-20010215/">http://www.w3.org/TR/2001/WD-xquery-20010215/</a> >, retrieved on 12/29/2005, 83 pages.                      |                          |
|  |                       | CLARK, JAMES et al., "XML PATH Language (XPath), Version 1.0," W3C, 1999, located on the internet at < <a href="http://www.w3.org/TR/xpath.html">http://www.w3.org/TR/xpath.html</a> >, retrieved on 12/29/2005, 37 pages.  |                          |

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| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

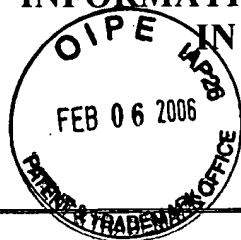
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)



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## U.S. PATENT DOCUMENTS

| Exam. Initial* | Cite No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|----------------|-----------------------|----------------------|--------------------------------------|---|--|---|
|                |                       | Number               | Kind Code <sup>2</sup><br>(If known) |   |  |   |
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|                |                       |                      |                                      |   |  |   |
|                |                       |                      |                                      |   |  |   |
|                |                       |                      |                                      |   |  |   |

## OTHER ART – NO PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation <sup>2</sup> |
|--------------------|-----------------------|--|--------------------------|
|                    |                       | KRISHNAPRASAD, MURALIDHAR et al., "Query Rewrite in Oracle XML DB," Proceeding of the 30 <sup>th</sup> VLDB Conference, 2004, pp. 1134-1145.   |                          |
|                    |                       | UNKNOWN AUTHOR, unknown title, located on the internet at <www.cs.uku.fi/kilpelai/RDK01/lectures/Xquery.pdf>, 2001, 10 pages.  |                          |
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|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
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